



A Fresh Look at Monitoring & Inspection

2 November 2023



A Significant Shift

Regulatory Safety and Security Strategy 2022-2027

Monitoring and Inspection Policy 2023

- Intel-led, risk-based
- System, sector, participant, product and individual levels
- Embracing our obligations to monitor HSWA and HSNO compliance

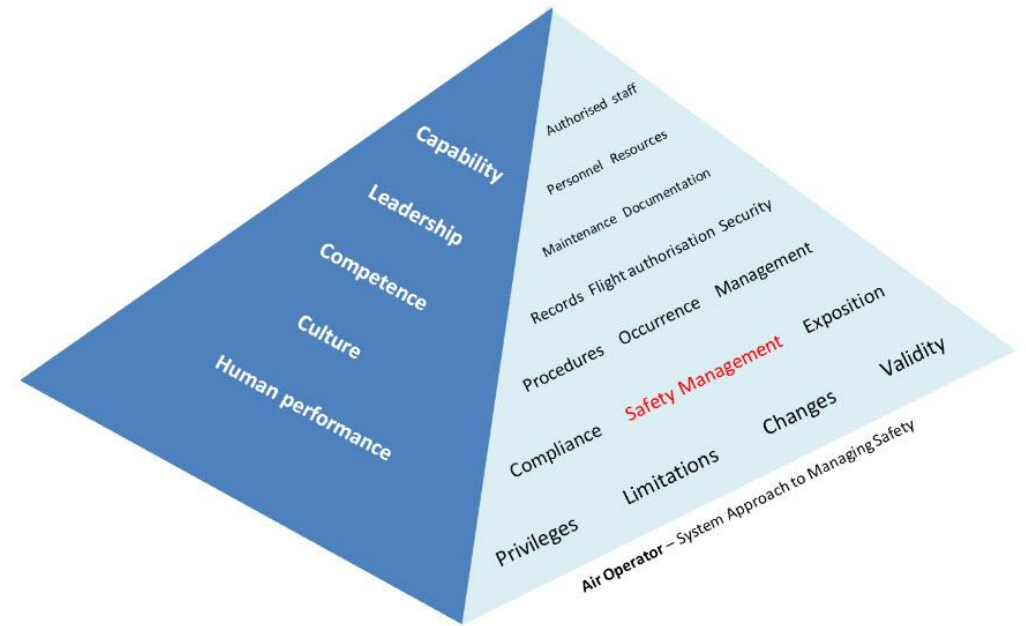


New Thinking – a Monitoring & Inspection Unit trial

- Beyond SMS?
- Principles underpinning the management of safety
- Positive causality of safety
- Understanding context
- Total system approach
- Everything reasonably practicable
- Effective compliance

Positive causality of safety

- Deliberate move away from 'safety' being the absence of negative outcomes.
- Safety is enabled by....
 - Capability
 - Leadership
 - Competence
 - Culture
 - Human performance
- Investment in these enablers can be measured
- Evidence that every reasonable effort and resource has been applied in a logical way and they are working together to protect against threats to the operation.



Everything reasonably practicable



Effective compliance

Understanding the purpose of the rule

Identifying the controls required by the rule

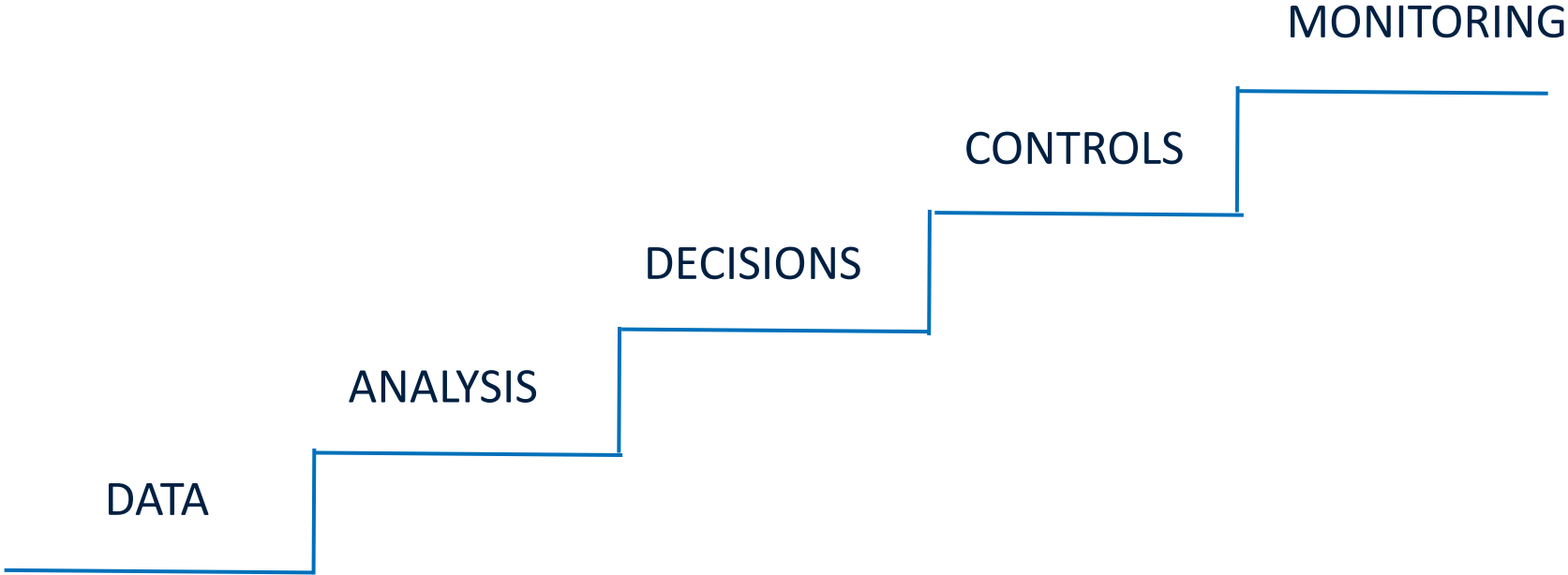
Implementing those controls

Managing the threats to those controls



*The right
thing done
by the right
people at the
right time*

A '5 Levels' View of a management system



New approach on-site.....

- Collaborative discussion to gain insight and assurance
- Focus questions or themes
- Stepping away from dependency on checklists and artifacts
- Demonstrating the 'goodness' of controls
- Evidencing system performance

What does this mean for you?

Currently looking at how this approach might be applied to your engagements with CAA

An opportunity for you to look at the protections that surround your work through a new lens

Enables you to re-evaluate your activities as a positive cause of safety